



PAKISTAN STOCK EXCHANGE LIMITED
Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan
UAN: 111-001-122
Website: www.psx.com.pk Email: info@psx.com.pk

PSX/N-7111

November 07, 2017

NOTICE FOR ALL MARKET PARTICIPANTS
IMPOSITION OF RESTRICTION ON
M/S. MILLENNIUM SECURITIES AND INVESTMENT (PRIVATE)
LIMITED - TRE CERTIFICATE HOLDERS, PSX

Attention of all market participants is invited to Notice No. CDC/LCR/333/2017 dated November 6, 2017, which was reproduced by the Exchange vide Notice No. N-7095 dated November 7, 2017, wherein it was informed that due to **negative** Capital Adequacy level M/s. Central Depository Company of Pakistan Limited (CDC) has decided to impose restrictions on the admission of M/s. Millennium Securities and Investment (Private) Limited as Participant to the CDS in respect of its Participant Account No. A06874 under their Regulations. The restriction shall remain effective till the time the Brokerage House sufficiently improves its Capital Adequacy level and informs CDC alongwith documentary evidence.

The restrictions imposed are as follows;

1. CDC shall not allow the participant to Transmit any Transaction to CDC in respect of Sub-Accounts under its control with the exception of transactions pertaining to transfer out of book entry securities from Sub-Accounts as portfolio transfers; and
2. CDC shall reject any Balance Order Delivery Transaction transmitted by the clearing company in respect of any Sub-Account under control of the participant.

Consequently, and as required under clause 3.10.1 of PSX Regulations, the Exchange has **imposed restriction on trading in Clients' Accounts** of M/s. Millennium Securities and Investment (Private) Limited until further notice.

The above information is being disseminated under clause 3.7.1 of PSX Regulations.



M. ABBAS MIRZA
Acting Chief Regulatory Officer

Copy to:

1. Concerned TRE Certificate Holder
2. The Executive Director (PRPD), SMD, SECP
3. The Director / HoD, (SSED), SMD, SECP
4. The Acting Chief Executive Officer, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. The Regional Heads - PSX (ISB & LHR)
10. All TRE Certificate Holders of PSX
11. PSX Website, Notice Board and Offices (ISB & LHR)