



NOTICE FOR ALL MARKET PARTICIPANTS

IMPOSITION OF RESTRICTIONS ON M/S. DIN CAPITAL LIMITED - TRE CERTIFICATE HOLDERS, PSX

It is hereby informed to all market participants that the Exchange in the best interest of investing public has decided to take certain regulatory enforcement actions against M/s. Din Capital Limited in respect of their continuous non-compliances of PSX Regulations. The enforcement actions inter alia include the followings.

1. Restriction on opening of new clients' accounts;
2. Restriction on trading in house account; and
3. Imposition of financial penalty

The above enforcement action(s) shall come into force with immediate effect and remain until satisfactory regulatory compliance is demonstrated by the concerned Brokerage House.

It must be noted that the above enforcement actions have been taken without prejudice to the right of the Exchange to further initiate any inquiry, special audit with expanded, restricted or different scope and to take any punitive action against the Brokerage House in accordance with relevant regulations on matters either subsequently investigated or otherwise brought to the knowledge of the Exchange.

The said information is being disseminated under clause 3.7.1 of PSX Regulations.



M. ABBAS MIRZA
Acting Chief Regulatory Officer

Copy to:

1. Concerned TRE Certificate Holders
2. The Executive Director (PRPD), SMD, SECP
3. The Director / HoD, (SSED), SMD, SECP
4. The Managing Director, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. The Regional Heads - PSX (ISB & LHR)
10. All TRE Certificate Holders of PSX
11. PSX Website, Notice Board and Offices (ISB & LHR)