



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan

UAN: 111-001-122 Website: www.psx.com.pk

PSX/N-6826

October 30, 2017

NOTICE FOR ALL MARKET PARTICIPANTS

SWITCHING-OFF OF TRADING TERMINALS OF M/S. K.H.S. SECURITIES (PRIVATE) LIMITED – TRE CERTIFICATE HOLDERS, PSX

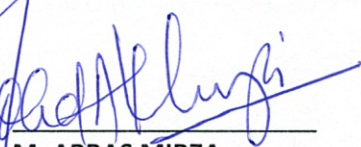
Attention of all concerned is invited to Clause 6(6) of Securities Brokers (Licensing and Operations) Regulations, 2016 which states that:

"In case of any shortfall in the net capital balance and / or liquid capital, either reported by the securities broker or identified by the securities exchange or the Commission, the securities exchange shall immediately restrict the trading facility of such securities broker and shall only allow it to close out the open position in a controlled environment."

The Statutory Auditor of M/s. K.H.S. Securities (Private) Limited has submitted a revised Net Capital Balance (NCB) Certificate as of June 30, 2017 wherein the NCB amount of the Broker is observed to be below the minimum threshold as specified under Clause 6(1) of Securities Brokers (Licensing and Operations) Regulations, 2016.

Consequently and in compliance with Clause 6(6) of Securities Brokers (Licensing and Operations) Regulations, 2016; the Exchange has switched off the trading terminals of the said Brokers with immediate effect until further notice.

All concerned are requested to note the above.



M. ABBAS MIRZA

Acting Chief Regulatory Officer

Copy to:

1. Concerned TRE Certificate Holder
2. The Executive Director (PRPD), SMD, SECP
3. The Director / HoD, (SSED), SMD, SECP
4. The Acting Chief Executive Officer, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. The Regional Heads – PSX (ISB & LHR)
10. All TRE Certificate Holders of PSX
11. PSX Website, Notice Board and Offices (ISB & LHR)