



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan

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Website: www.psx.com.pk Email: info@psx.com.pk

PSX/N-5248

September 07, 2017

NOTICE FOR ALL MARKET PARTICIPANTS

SWITCHING-OFF OF TRADING TERMINALS OF M/S. PACE INVESTMENT & SECURITIES (PRIVATE) LIMITED – TRE CERTIFICATE HOLDER, PSX

Attention of all concerned is drawn towards Clause 20.3.4 of PSX Regulations which states that:

"In the event of refusal by the Commission to renew Broker registration of a TRE Certificate Holder or failure by the TRE Certificate Holder to apply for renewal till the date of expiry of the broker registration, or cancellation of registration by the Commission, the CRO or authorized officer of RAD shall suspend the complete trading terminals of such TRE Certificate Holder after obtaining confirmation of the Commission."

In this regard, it is hereby informed that M/s. Pace Investment & Securities (Private) Limited, having its registered office located at Islamabad, has not applied for renewal of registration as Broker with SECP and validity of its Broker Registration stand expired.

In view of the above and in compliance with regulatory requirements, the trading terminals of the above mentioned TRE Certificate Holder shall stand suspended **with immediate effect.**

All clients of M/s. Pace Investment & Securities (Private) Limited are advised to exercise caution and have their Assets, if any, transferred out and in case of any difficulty / issue immediately report to the Exchange.

The above must be noted for information and record purposes.


M. ABBAS MIRZA

Acting Chief Regulatory Officer

Copy to:

1. M/s. Pace Investment & Securities (Private) Limited
2. The Executive Director (PRPD), SMD-SECP
3. The Director / HoD (SSED), SMD-SECP
4. The Chief Executive Officer, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. The Regional Head, PSX (ISB & LHR)
10. All TRE Certificate Holders of PSX
11. PSX Website, Notice Board and Offices (ISB & LHR)