



PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan

Phones: **021-111-00-11-22** Email: investor.complaints@psx.com.pk

PSX/N-4347

July 18, 2017

PUBLIC NOTICE

INVITATION OF CLAIMS

AGAINST M/S. S.Z SECURITIES (PRIVATE) LIMITED FORFEITED TRE CERTIFICATE HOLDER

This refers to our Notice no. PSX/N-4346 dated July 18, 2017 whereby forfeiture of Trading Rights Entitlement (TRE) Certificate of M/s. S.Z Securities (Private) Limited (SZ Securities) has been disseminated.

All TRE Certificate Holders of Pakistan Stock Exchange Limited (PSX) and investors/clients of SZ Securities forfeited TREC Certificate Holder, PSX are requested to submit their claims arising out of the transaction(s) made subject to regulations of the PSX against SZ Securities with Regulatory Affairs Division (RAD) of PSX nearest to your location in Karachi, Islamabad and Lahore through duly filled Investors' Claim Form, either by downloading from PSX website by visiting the link "Investor Center" or directly through online e-complaints at; <http://csir.psx.com.pk>. Investors are advised to submit their claim forms alongwith required supporting documents as mentioned in PSX claim form. For efficient processing, please mention whether your claim of funds / securities is from pre / post integration of Stock Exchanges into PSX.

Please note that those TRE Certificate Holders/ investors who have already lodged their complaints/claims against SZ Securities are not required to file their complaints again as their claims are already being processed by PSX. However, in case they need to submit any additional evidences in support of their already lodged claims, they may submit relevant documents to PSX within stipulated time by specifically mentioning their assigned claim number against their claim as reference.

PSX will scrutinize all the claims lodged with the Exchange from available records of the said former TREC Holder, Central Depository Company, trade data and payments/ receipts proving documents including bank statements/ letters/ cheques etc. to ascertain its legitimacy. In order to verify each claim and to ascertain its genuineness, PSX may seek advice or employ the services of a reputed firm of Chartered Accountants.

Please be informed that PSX reserves the right to take appropriate legal action against those who lodge false or fictitious claims.

It may please be noted that last date for submission of claims against M/s. S.Z Securities (Private) Limited is **August 18, 2017** and thereafter no claim will be entertained by PSX.


M. ABBAS MIRZA

Acting Chief Regulatory Officer

Copy to:

1. All TRE Certificate Holders of PSX
2. The Executive Director (PRPD), SMD, SECP
3. The Director / HoD, (SSED), SMD, SECP
4. The Acting Managing Director, PSX
5. The Chief Executive Officer, CDC
6. The Chief Executive Officer, NCCPL
7. The Managing Director, PMEX
8. All Heads of Departments, PSX
9. PSX Website, Notice Board and Offices (ISB & LHR)