



# PAKISTAN STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan

Phones: 111-001122, 111-012345, Fax (9221) 32415136

URL: <http://www.psx.com.pk>, Email: [iso@psx.com.pk](mailto:iso@psx.com.pk)

Ref. No. PSX/N-4237

July 13<sup>th</sup>, 2017

## **NOTICE FOR ALL PSX TREC HOLDERS**

### **Mock Session of PSX Disaster Recovery**

Further to our earlier notice no. PSX/N-3421 dated June 01<sup>st</sup>, 2016, notice no. PSX/N-4769 dated September 01<sup>st</sup>, 2016 and notice no. PSX/N-969 dated February 15<sup>th</sup>, 2017 regarding the mock session of PSX Disaster Recovery testing of KATS, KITS, FIX, NCHS, CMS, and MTS applications, the testing was successfully completed with the active support and participation of the TREC Holders.

In line with the established practice of the Exchange to offer TREC holders multiple opportunities to participate during the mock sessions, a session is being arranged for the TREC Holders to verify the connectivity and availability of KATS, KITS, FIX, NCHS, CMS, and MTS applications from the PSX Disaster Recovery site. During the Mock session, the TREC Holders will be completely isolated from existing IT arrangements at Karachi. This fifth MOCK session has been organized for Saturday July 15<sup>th</sup>, 2017 as per following schedule:

#### **Market State**

Markets Pre-Open  
Markets Open  
Markets Close

#### **Timings**

12:00 Noon  
12:15 PM  
02:00 PM

Your feedback & suggestions with respect to the said mock session can be communicated to the PSX IT Support Services on email [itss@psx.com.pk](mailto:itss@psx.com.pk) or via following contact numbers:

#### **Location**

Karachi  
Lahore  
Islamabad

#### **Contact Details**

021-111-012345 ext. 4401-4407  
042-36316921 ext. 5057/5019  
051-2894849

The TREC Holders are hereby advised in their own interest to participate fully in the mock session as per above mentioned schedule on **July 15<sup>th</sup>, 2017**.

Further, kindly note that as per PSX regulations (PSX Rule Book Chapter 8 Clause 8.3.2) every TREC Holder is required to maintain atleast one KATS DR terminal, therefore, it is hereby advised that all TREC holders in compliance with above-stated regulation must install DR terminal urgently. Kindly follow the details in the enclosed appendix to acquire KATS DR terminal from the Exchange, if you presently do not have a DR terminal. Please also contact PSX ITSS to request for NCHS DR Terminal.

**Arif Rehman**  
**Chief Information Security Officer (CISO)**  
**Information Security Office**

Cc: PSX Website

ENCL: as above.

**Riaz Ahmed Khan**  
**AGM / Chief IT Coordinator**  
**I.T. Division**



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**APPENDIX to Notice Ref. No. PSX/N-4237**

**July 13<sup>th</sup>, 2017**

### **PSX Regulations**

As per the PSX Rule Book chapter 8 (KATS Regulations):

- 8.3.2. Every Broker shall mandatorily get and maintain one Disaster Recovery (DR) Terminal at a remote place outside the Exchange premises, which shall be connected to DR Site of the Exchange. However, such DR Terminal, installed at the registered Office/Branch Office of the Brokers or otherwise shall be 'view only' terminal during normal operations of the Exchange and will be activated only for trading purposes during the period of Disaster declared by the Exchange or intimated by the Broker to the Exchange. While activating DR Terminal for trading purposes, Exchange shall ensure that normal trading workstations are not connected with KATS. As soon as the Exchange or the Brokers declares removal of Disaster status, the DR Terminal will be switched-back to 'view only' from the 'trading' terminal.

### **Procedure to request KATS Disaster Recovery (DR) Terminal**

PSX regulations make it mandatory for TREC Holders to install a free-of-cost DR terminal for trading purposes in the remote office or any location other than PSX premises to provide a facility to TREC Holders to be used in case a disaster is declared at PSX or TREC Holder premises. However PSX will charge a fee to provide RSA token to the TREC Holder. Please follow below procedure to request DR terminal and RSA Token for your Brokerage House:

- Step 1. Download the following forms from PSX site: <http://172.16.1.111/ksedownloads>
- Specimen of DRS Terminal Request form (Application Form-DRS.pdf)
  - Specimen for RSA Token Request form (Application For-RSA Token-PSX.pdf)
- Step 2. Duly fill the form and submit it using official letterhead to Exchange's IT Support Services (ITSS) department.
- Step 3. After processing the DRS and RSA Token request form, ITSS will issue approval/charges letter to the TREC Holder.
- Step 4. Submit the payment to the PSX to obtain RSA Token.
- Step 5. Upon receiving the payment, Exchange's ITSS will prepare a DRS Agreement for TREC holder's acceptance and signatures.
- Step 6. Exchange's ITSS will then issue RSA Token to the TREC holder.
- Step 7. Exchange's IT Support staff will visit TREC Holder's office and configure the terminal with KATS Software, VPN Client and Antivirus Software.