



**KARACHI STOCK EXCHANGE LIMITED**  
Stock Exchange Building, Stock Exchange Road, Karachi  
Phones: 111-001-122, Fax (021) 32462640

**KSE/N-4879**

**September 13, 2013**

**NOTICE FOR ALL TREC HOLDERS/BROKERS**

**CAPITAL ADEQUACY REQUIREMENT – CERTIFICATE OF NET CAPITAL BALANCE**

Further to our Notice No. KSE/N –4528 dated August 23, 2013 on the subject.

All TRE Certificate Holders are hereby informed that the Board of Directors of the Exchange in its meeting held on September 12, 2013 has extended the due date for submission of Net Capital Balance (NCB) Certificate till October 31, 2013.

In view of above, all TRE Certificate Holders are requested to ensure that the certificate of Net Capital Balance as of June 30, 2013 apportioned as per the following detail is submitted to the Exchange latest by **October 31, 2013**, failing which the penalty shall be imposed as provided in Schedule 5 of Regulations Governing Risk Management of the Exchange.

1. Allocation to Karachi Stock Exchange Limited
2. Allocation to Lahore Stock Exchange Limited
3. Allocation to Islamabad Stock Exchange Limited
4. Allocation to National Clearing Company of Pakistan Limited for Debt Market

All the TRE Certificate Holders are requested to note the above for necessary compliance.

  
**SHAFQAT ALI**  
*Chief Regulatory Officer*

**Copy to:**

01. The Director (PRDD), SMD, SECP, Fax 051-9218595, E-mail: [smd.notices@secp.gov.pk](mailto:smd.notices@secp.gov.pk)
02. The Managing Director, Karachi Stock Exchange Limited
03. The Managing Director, Lahore Stock Exchange Limited
04. The Managing Director, Islamabad Stock Exchange Limited
05. The Chief Executive Officer, National Clearing Company of Pakistan Limited
06. All Head of Departments-KSE
07. Notice Board
08. KSE Website