



KARACHI STOCK EXCHANGE LIMITED

Stock Exchange Building, Stock Exchange Road, Karachi
Phones: 111-001-122, Fax (021) 32462640

KSE/N -2950

May 8, 2013

NOTICE FOR ALL TRE CERTIFICATE HOLDERS REGISTERED WITH THE COMMISSION AS BROKER

BASE MINIMUM CAPITAL (BMC) REQUIREMENT

This is further to notice No.KSE/N-2863 dated May 3, 2013 on the subject matter.

All TRE Certificate Holders registered with the Commission as Brokers ("Brokers") are hereby informed that the requirement of Base Minimum Capital (BMC) has to be completed by May 20, 2013. In this respect, in order to facilitate the Brokers, the Exchange has decided to provide them duly filled in legal documents required for maintaining BMC. Therefore all the Brokers are requested to provide the following information on their company's Letter Heads duly signed by the authorized Signatory(ies) addressed to the undersign:

1. Full Name of TRE Certificate Holder: _____
2. Registered Office Address: _____
3. TRE Certificate No.: _____
4. Name(s) and Designation(s) of authorised Signatory(ies)

(True copy of the Board Resolution authorizing the company official(s) to execute necessary legal documentations for Base Minimum Capital duly certified by the Company Secretary)

5. Eligible collateral to meet BMC requirement, *please select any/combination of following:*

Transferable TRE Certificate @ notional value Rs. 15 million	KSE Shares (Shall Be Valued @ Rs. 9.954)	Bank Guarantee issued by Eligible Banks in terms of the definition specified in Regulations Governing Risk Management of the Exchange	Cash	Total to be not less than
Please Tick Box for Depositing Original TRE Certificate <input type="checkbox"/>	No. of Shares Pledged with KSE Pledgee ID: B00107 _____	Amount in Rs. _____	Amount in Rs. _____	Rs. 30,955,796

After submission of aforesaid information, the Exchange will provide required documents on **Non-Judicial Stamp Papers** for filling remaining fields and getting signed off from Authorized signatory(ies) of the Brokerage house.

We would be pleased to clarify if you have any query in this respect.

SHAFQAT ALI
Acting Chief Regulatory Officer

Copy to:

01. The Executive Director (SM)/HOD PRDD), SECP E-mail: smd.notices@secp.gov.pk
02. The Managing Director, KSE
03. The Managing Director, LSE & ISE
05. The Managing Director, PMEL
06. The Chief Executive Officer, CDC & NCCPL
08. All HoDs of the Exchange
09. Notice Board & KSE Website