



KARACHI STOCK EXCHANGE LIMITED
Stock Exchange Building, Stock Exchange Road, Karachi
Phones: 111-001-122, Fax (021) 32462640

KSE/N – 128

January 09, 2013

NOTICE FOR ALL TREC HOLDERS / BROKERS

CAPITAL ADEQUACY REQUIREMENT-CERTIFICATE OF NET CAPITAL BALANCE

All TREC Holders/Brokers are requested to ensure that the certificate of Net Capital Balance as on December 31, 2012 apportioned as per following detail is required to be submitted by **March 15, 2013**, failing which the penalty shall be imposed as provided in Schedule 5 of Regulations Governing Risk Management of the Exchange.

1. Allocation to Karachi Stock Exchange Limited
2. Allocation to Lahore Stock Exchange Limited
3. Allocation to Islamabad Stock Exchange Limited
4. Allocation to National Clearing Company of Pakistan Limited for Debt Market

The list of approved panel of auditors prescribed by the Exchange under Regulations Governing System Audit of the Brokers of the Exchange is enclosed herewith for verification/certification of Net Capital Balance Certificate.

This is notified for your compliance within time period allowed by the Exchange.


SHAFQAT ALI
Acting Chief Regulatory Officer

Copy to:

01. The Director (SROD), SMD, SECP, Fax 051-9218595, E-mail: smd.notices@secp.gov.pk
02. The Managing Director, Karachi Stock Exchange Limited
03. The Managing Director, Lahore Stock Exchange Limited
04. The Managing Director, Islamabad Stock Exchange Limited
05. The Chief Executive Officer, National Clearing Company of Pakistan Limited
06. All Head of Departments-KSE
07. Notice Board
08. KSE Website

Encl: List of Approved Panel of Auditors