

**THE KARACHI STOCK EXCHANGE LIMITED**

Stock Exchange Building, Stock Exchange Road, Karachi-74000  
Phones: 111-001-122, Fax (021) 32462640

**KSE/N--4140****September 13, 2012****NOTICE FOR ALL TREC HOLDERS/BROKERS****REMINDER-III****CAPITAL ADEQUACY REQUIREMENT-CERTIFICATE OF NET CAPITAL BALANCE**

Further to our Notice No. KSE/N-4012 dated September 3, 2012 on the subject.

All the TREC Holders/Brokers are once again requested to ensure that the certificate of Net Capital Balance as on June 30, 2012 apportioned as per the following detail is submitted **before September 15, 2012**, as per the approved Auditors list already circulated in the above referred notice, failing which the penalty shall be imposed as provided in Schedule 5 of Regulations Governing Risk Management of the Exchange. TREC Holders/ Brokers are also informed that any request for extension in submission of certificate cannot be entertained.

1. Allocation to Karachi Stock Exchange Limited
2. Allocation to Lahore Stock Exchange Limited
3. Allocation to Islamabad Stock Exchange Limited
4. Allocation to National Clearing Company of Pakistan Limited for Debt Market

All the TREC Holders/Brokers are requested to note the above for necessary compliance.

  
**SHAFOQ ALI**  
*General Manager*  
*Risk Management & Market Control & Surveillance*

**Copy to:**

01. The Director (PRDD), SMD, SECP, Fax 051-9218595, E-mail: [smd.notices@secp.gov.pk](mailto:smd.notices@secp.gov.pk)
02. The Managing Director, Karachi Stock Exchange Limited
03. The Deputy Managing Director, Karachi Stock Exchange Limited
04. The Managing Director, Lahore Stock Exchange Limited
05. The Managing Director, Islamabad Stock Exchange Limited
06. The Chief Executive Officer, Central Depository Company of Pakistan Limited
07. The Chief Executive Officer, National Clearing Company of Pakistan Limited
08. Heads of IT Division & Operations-KSE
09. Notice Board
10. KSE Website