



**THE KARACHI STOCK EXCHANGE (GUARANTEE) LIMITED**  
Stock Exchange Building, Stock Exchange Road, Karachi-74000, Pakistan  
Phones: 111001122, Fax: 021 32462640

**KSE/N-3612**

**August 6, 2012**

## **NOTICE FOR ALL MEMBERS**

### **CAPITAL ADEQUACY REQUIREMENT-CERTIFICATE OF NET CAPITAL BALANCE**

All the members are requested to submit the certificate of Net Capital Balance as on June 30, 2012 apportioned as per the following detail upto **September 15, 2012**, failing which the penalty shall be imposed as provided in Schedule 5 of Regulations Governing Risk Management of the Exchange.

1. Allocation to Karachi Stock Exchange (Guarantee) Limited
2. Allocation to Lahore Stock Exchange (Guarantee) Limited
3. Allocation to Islamabad Stock Exchange (Guarantee) Limited
4. Allocation to National Clearing Company of Pakistan Limited for Debt Market

The list of approved panel of auditors prescribed by the Exchange under Regulations Governing System Audit of the Brokers of the Exchanges, 2004 is enclosed here with for the submission of Net Capital Balance Certificate.

All the members are requested to note the above for necessary compliance.

  
**SHAFIQAT ALI**  
*General Manager*  
*Risk Management & Market Control & Surveillance*

**Copy to:**

01. The Director (PRDD), SMD, SECP, Fax 051-9218595, E-mail: [smd.notices@secp.gov.pk](mailto:smd.notices@secp.gov.pk)
02. The Managing Director, Karachi Stock Exchange (Guarantee) Limited
03. The Deputy Managing Director, Karachi Stock Exchange (Guarantee) Limited
04. The Managing Director, Lahore Stock Exchange (Guarantee) Limited
05. The Managing Director, Islamabad Stock Exchange (Guarantee) Limited
06. The Chief Executive Officer, Central Depository Company of Pakistan Limited
07. The Chief Executive Officer, National Clearing Company of Pakistan Limited
08. Heads of IT Division & Operations-KSE
09. Notice Board
10. KSE Website

***Encl:*** List of Approved Panel of Auditors

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