



THE KARACHI STOCK EXCHANGE (GUARANTEE) LIMITED
Stock Exchange Building, Stock Exchange Road, Karachi
Phones: 111-001-122, Fax: 32410825
(Copy of the same is also available on our website www.kse.com.pk)

KSE/N- 4626

September 2, 2010

NOTICE FOR ALL MEMBERS

**RENEWAL OF REGISTRATION OF BROKER UNDER
THE BROKERS & AGENTS REGISTRATION RULES, 2001**

This is with reference to the renewal of Registration of Brokers under the Brokers & Agents Registration Rules, 2001 with SECP, expiring on October 31, 2010.

In accordance with the directives received from SECP, it is required to submit the applications for renewal of registration with the SECP at least 45 days before the date of expiry. Accordingly the members were requested to submit their applications to the Exchange atleast 60 days before the date of expiry, so that the same after due scrutiny by the KSE is forwarded to Commission within the specified time.

However, the Exchange received several representations from the Members' requesting extension in time for submission of Net Capital Balance Certificate. The matter was taken up with the SECP with the request to allow the Members concerned an extension in time for submission of the net Capital Balance Certificate with the Exchange by September 15, 2010 so that the same is forwarded to Commission.

The SECP vide its letter No. SM/ICW/3-10 dated August 31, 2010 has acceded to the request of the Exchange and has allowed extension in time for submission of Net Capital Balance Certificate as desired by the Exchange.

In view of above, the members of the Exchange whose registration as broker with the SECP expiring on **October 31, 2010** and who have still not submitted the relevant documents/information, are requested to submit the same immediately, except the Net Capital Balance Certificate. The Net Capital Balance Certificate as on June 30, 2010 shall be submitted to the Exchange on or before **September 15, 2010**, so that the same will be forwarded to Commission accordingly.


ABBAS MIRZA
Deputy General Manager Operations

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Website
Notice Board
Member Concerned
S.E.C.P, Islamabad & Karachi