



National Clearing Company of Pakistan Limited
8th Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi

NCCPL/CM/SEPTEMBER-19/17
September 20, 2019

NOTICE FOR ALL AUTHORIZED INTERMEDIARIES

Provision of mobile number of close family member as per amendments in Centralized Know Your Customer Organization (CKO) Regulations, 2017

This is with reference to the recent approved amendments in CKO Regulations, 2017 regarding provision of mobile number of close family member.

In this respect an Authorized Intermediary may, in special circumstances upon receipt of duly signed written authorization, on the prescribed format, from both the local domestic Customer and the person whose mobile number is to be provided, use the mobile number of close family member and provide such mobile number in KYC Application Form for issuance of UKN. The CKO may request any documentary support for establishing the relationship between the Customer for whom UKN issuance is requested and the person whose mobile number is used in KYC Application Form.

Format of undertaking is attached for reference.

For any further queries or concerns, please feel free to contact the Customer Support Services of your respective locations.

Regards,

_____sd
Rehan Saif
Head of PD & CSS

CC:

1. Executive Director - Policy, Regulation and Development Department - SMD
Securities & Exchange Commission of Pakistan - Islamabad
2. Managing Director - Pakistan Stock Exchange Limited
3. Chief Executive Officer - Central Depository Company of Pakistan Limited
4. Managing Director - Pakistan Mercantile Exchange Limited (For Circulation to all Authorized Intermediaries of PMEX)
5. Chief Executive Officer - Mutual Fund Association of Pakistan



National Clearing Company of Pakistan Limited

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[TO BE MADE ON A STAMP PAPER OF RS. 100/- (DULY NOTARIZED)]

THIS UNDERTAKING is executed at [insert city] this [insert day] of [insert Month] 2019.

IN FAVOUR OF

National Clearing Company of Pakistan Limited (NCCPL), having its registered office at 8th Floor, Stock Exchange Building, Stock Exchange road, Karachi-74000,

NOW, THEREFORE, THIS UNDERTAKING AND INDEMNITY WITNESSETH AS FOLLOWS:

I Mr./Mrs./Ms. _____ resident of _____ bearing CNIC _____ submitting this undertaking that I have applied to (Broker Name) _____ for trade in Securities. For this purpose, I am providing mobile number of my _____ **(Father, Mother, Son, Daughter, Husband)** bearing CNIC _____ (Copy attached). It is hereby submitted that please add my provided contact details in NCCPL database and allow me to proceed for account opening process can be completed.

NCCPL has right to block my account incase my _____ **(Father, Mother, Son, Daughter, Husband)** number is not get verified by Pakistan Mobile Database (PMD)

I solemnly declare that all the aforementioned information is complete and accurate and I am liable for any misinformation arising thereafter.

Signature:

Signature:

Applicant Name:

Name of Person (whose Mobile no. is provided):

CNIC:

CNIC:

WITNESSES:

1. SIGNATURE: _____

2. SIGNATURE: _____

NAME: _____

NAME: _____

CNIC/NICOP/PASSPORT NO. _____

CNIC/NICOP/PASSPORT NO. _____

ADDRESS: _____

ADDRESS: _____