

NCCPL/CM/JUNE-18/13

June 26, 2018

**Go-Live of Comprehensive Risk Management System (RMS) by NCCPL & Final Mock Session****Dear Clearing Members and Settling Banks**

This is with reference to our circular no. **NCCPL/CM/MAY-18/03** dated **May 11, 2018**, **NCCPL/CM/MAY-18/07** dated **May 18, 2018** and **NCCPL/CM/JUNE-18/01** dated **June 07, 2018** pertaining to the captioned subject matter.

In this regards, NCCPL is pleased to announce the **Go-Live** and the **Final Mock Session** dates for the **NCCPL RMS** for facilitation of the BCM, NBCM and Settling Banks as per the following schedule:

| Activity                            | Date                         | Time Duration      |
|-------------------------------------|------------------------------|--------------------|
| NCCPL RMS <b>Final Mock Session</b> | Saturday, June 30, 2018      | 12:00 PM – 2:00 PM |
| NCCPL RMS <b>Go-Live</b>            | Monday, July 2, 2018 onwards |                    |

**A. Scope of the Mock Session Activity**

We are planning for a full end-to-end Mock Session of the RMS. The participants will be required to perform the following activities:

1. To access RMS via URL: <http://rms.nccpl.com.pk>
2. Saturday, June 30<sup>th</sup> 2018 will be defined a trading day for performing Mock Session.
3. All Mock Session related transactions will be flushed out from the system after the exercise.
4. From Monday July, 2<sup>nd</sup> 2018, RMS will be live.

**A.1 Activities for Clearing Members**

1. To access PSX Trading workstation for performing Mock Trades.
2. To check the RMS related reporting in the NCCPL RMS.
3. To perform Cash Deposit / Withdrawal.
4. To verify reports and last day positions accordingly.
5. PSX will discontinue its CMS related to RMS activities.
6. PSX will discontinue the RMS for Ready / DFC and IPO markets.

**A.2 Activities for Non-Broker Clearing Members**

1. To access the RMS via above link with their existing user credentials.
2. To verify reports and last day positions accordingly.
3. Existing NCCPL RMS (NBCM) will be discontinued from Monday, July 2, 2018.

**A.3 Activities for Settling Banks**

1. To access the RMS via above link with their existing user credentials.
2. To approve, rejects deposit and perform withdrawal requests as initiated by Clearing Members during the mock session through Cash Management module within RMS.
3. Existing NCCPL RMS will be discontinued from Monday, July 2, 2018.

## **B. NCCPL RMS Go-Live**

From Monday, July 2, 2018, NCCPL Comprehensive RMS will be Live for the Ready / DFC and IPO markets, consequently, the following will be discontinued:

1. Existing NCCPL RMS (NBCM)
2. PSX CMS functions related to the RMS of Ready/DFC/IPO markets
3. PSX RMS for Ready/DFC/IPO

Note: For other Markets, PSX CMS and RMS will remain continued.

## **C. RMS Upload / Download Formats**

Effective from Monday, July 2, 2018, following upload / download formats as attached shall be used in NCCPL RMS:

1. CMS Upload
2. Pledge Upload
3. Reports Download

## **D. Pre-Settlement Delivery**

Pre-Settlement Delivery will be implemented for Deliverable Future Contract (DFC) Market through NCSS effective from July 02, 2018 and option to deposit net sold securities with CDC against Account No 00119 will be discontinued.

### **IMPORTANT**

The Clearing Members / Settling Banks who have collected the RMS User ID and Password letter from NCCPL will be able to participate in this Mock Session. Participants that have not yet collected the RMS User ID and Password from NCCPL to access RMS Mock Session are advised to collect the same immediately from the Customer Support Department.

For any further queries or concerns, please feel free to contact the Customer Support Department at UAN No. 021-111-111-622.

Regards,

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**Rehan Saif**  
**Head of PD & CSS**

CC:

1. Executive Director - Policy, Regulation and Development Department - SMD  
Securities & Exchange Commission of Pakistan - Islamabad SECP
2. Managing Director - Pakistan Stock Exchange Limited
3. Chief Executive Officer - Central Depository Company of Pakistan Limited
4. Settling Banks

## **RMS Upload / Download Formats**

### **1. CMS Upload Format:**

UIN|AMOUNT

1234567890|1000

### **2. Pledge Upload Format:**

SYMBOL|UIN|CDS\_ACCOUNT|RELEASE\_QTY|CM\_ID

PSO|ABCD/XYZZ1107|7928|100|00208

### **3. Reports Download**

- DFC Uinnet Demand
- REG Brief Detail
- REG Brief Spread
- DFC Brief Spread
- DFC Brief Detail
- REG Uinnet Demand

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