



ISO 27001 Certified

National Clearing Company of Pakistan Limited
8th Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi

NCCPL/CM/SEPTEMBER-17/05
September 12, 2017

REMINDER-II

CAPITAL ADEQUACY REQUIREMENT - CERTIFICATE OF NET CAPITAL BALANCE

Dear Broker Clearing Members,

This is with reference to our Circular No. NCCPL/CM/AUGUST-17/17 & NCCPL/CM/SEPTEMBER-17/02 dated August 23, 2017 & September 05, 2017 respectively on the captioned subject.

In this respect, all Broker Clearing Members (BCMs) are once again advised to note that the certificate of Net Capital Balance (“NCB”) along with Liquid Capital Statement as on June 30, 2017 is required to be submitted by September 15, 2017, failing which a penalty shall be imposed as provided in schedule V of Chapter 12 of NCCPL Regulation, 2015.

For any further queries or concerns, please feel free to contact the Customer Support Services Department at UAN No.021-111-111-622

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Rehan Saif
Head of PD & CSS

CC:

1. Executive Director - Policy, Regulation and Development Department - SMD
Securities & Exchange Commission of Pakistan - Islamabad
2. Managing Director - Pakistan Stock Exchange Limited
3. Chief Executive Officer - Central Depository Company of Pakistan Limited
4. Chairman - Pakistan Banking Association