



ISO 27001 Certified

National Clearing Company of Pakistan Limited

8th Floor, Pakistan Stock Exchange Building, Stock Exchange Road, Karachi

NCCPL/CM/SEPTEMBER-17/02

September 5, 2017

REMINDER

CAPITAL ADEQUACY REQUIREMENT - CERTIFICATE OF NET CAPITAL BALANCE

Dear Broker Clearing Members,

This is with reference to our Circular No. NCCPL/CM/AUGUST-17/17 Dated August 23, 2017 on the captioned subject.

In this respect, all Broker Clearing Members (BCMs) are once again advised to note that the certificate of Net Capital Balance (“NCB”) along with Liquid Capital Statement as on June 30, 2017 are required to be submitted **by September 15, 2017**, failing which a penalty shall be imposed as provided in **Schedule V** of Chapter 12 of NCCPL Regulation, 2015.

For any further queries or concerns, please feel free to contact the Customer Support Department at UAN No. 021-111-111-622.

Regards,

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Muhammad Asif
Head of Operations

CC:

1. Executive Director - Policy, Regulation and Development Department - SMD
Securities & Exchange Commission of Pakistan - Islamabad
2. Managing Director - Pakistan Stock Exchange Limited
3. Chief Executive Officer - Central Depository Company of Pakistan Limited
4. Chairman - Pakistan Banking Association